Change Order No. 001

Date of Issuance: 09/15/2026 Effective Date: 09/15/2026

Project: Finley Area Water System Improvements	Owner: City of Lenoir	Owner's Contract No.: DWI No. SRP-D-ARP-0198
Contract: Finley Area Water System Improvements		Date of Contract: 08/29/2025
Contractor: Caldwell Tanks, Inc.		Engineer's Project No.: 21.01145

The Contract Documents are modified as follows upon execution of this Change Order:

Description: Undercut and replacement of poor soils encountered during the excavation for the tank foundation. To meet the design soil bearing the foundation had to be undercut 3 FT at the direction of the Geo-Technical Engineer, BLE and then replaced with flowable fill concrete.

Attachments (list documents supporting change):
Summary of change order items: Contractor's Cost Proposal per Engineer's markups.

CHANGE IN CONTRACT PRICE:	CHANGE IN CONTRACT TIMES:
Original Contract Price: $2,063,700.00	Original Contract Times: ☐ Working ☒ Calendar days Substantial completion (days or ~~date~~): 360 Ready for final payment (days or ~~date~~): 390
[~~Increase~~] [~~Decrease~~] from previously approved Change Orders No. 0 to No. 0: $0.00	[~~Increase~~] [~~Decrease~~] from previously approved Change Orders No. 0 to No. 0: Substantial completion (days): 0 Ready for final payment (days): 0
Contract Price prior to this Change Order: $2,063,700.00	Contract Times prior to this Change Order: Substantial completion (days or ~~date~~): 360 Ready for final payment (days or ~~date~~): 390
[Increase] [~~Decrease~~] of this Change Order: $28,224.18	[~~Increase~~] [~~Decrease~~] of this Change Order: Substantial completion (days or ~~date~~): 0 Ready for final payment (days or ~~date~~): 0
Contract Price incorporating this Change Order: $2,091,924.18	Contract Times with all approved Change Orders: Substantial completion (days or ~~date~~): 360 Ready for final payment (days or ~~date~~): 390

RECOMMENDED:	ACCEPTED:	ACCEPTED:
Engineer: [signature]	Owner:	Contractor: Matthew Tuminski
Print: DOUGLAS CHAPMAN	Print:	Print: Matthew Tuminski
Date: 9-18-26	Date:	Date: 9-15-2026
Approved by Funding Agency (if applicable):		Date:

CALDWELL
Since 1887

August, 21, 2026

McGill Associates
1240 19th Street Lane NW
Hickory, NC 28601

Attention: Curtis Baker

Subject: 9575 Foundation Change Order

Mr. Baker,

Attached is revised Change Order based of your revisions: During subgrade inspection to verify bearing capacity on 03/05/26, soft residual soils were encountered which did not meet the required bearing of 4000psf. Excavation was deepened by 3ft and widened accordingly to maintain safe side slopes. The following day on 03/06/26, the subgrade was reinspected and two small areas of softer residual soils were found in the southwest corner of the excavation. These areas were undercut an additional 1-2 feet until stiff residual soils were found that met the 4000psf requirement. The over excavation was then brought back to grade with a 3ft +/- thick concrete mudmat which totaled 126 cubic yards. See attached BLE field reports.

Revised Change Order Request Amount	$ 3,120.00
	$19,750.00
	$ 2,049.88
Ozark OH&P 5%	1,246.00
Sub Total	$26,165.88
Caldwell PM Time and 5 % Markup	2,058.30
Total	$28,224.18

Let me know if you have any additional questions.

Sincerely,

Matt Tuminski
Project Manager
Caldwell Tanks, Inc

OMC
Ozark Mtn. Construction, Inc.

NOTICE OF CONTRACT CHANGE

CALDWELL JOB NO: 9120 **OMC JOB NO:** E-9575 **CONTRACT CHANGE NOTICE NO:** 1

JOB NAME: LENOIR-NC

DATE(S) CHANGE OCCURRED: 03/05/26-03/06/26

DESCRIPTION OF CHANGE:

During subgrade inspection to verify bearing capacity on 03/05/26, soft residual soils were encountered which did not meet the required bearingof 4000psf. Excavation was deepened by 3ft and widened accordingly to maintain safe side slopes. The following day on 03/06/26, the subgrade was reinspected and two small areas of softer residual soils were found in the southwest corner of the excavation. These areas were undercut an additional 1-2 feet until stiff residual soils were found that met the 4000psf requirement. The overexcavation was then brought back to grade with a 3ft +/- thick concrete mudmat which totaled 126 cubic yards. See attached BLE field reports.

CHANGE INITIATED BY: Bunnel Lammons Engineering/Caldwell Engineering

PREPARED BY: Mat Daoust **DATE PREPARED:** 4/23/2026

BREAKDOWN OF OMC INCURRED COST

LABOR

DATE	NO. OF WORKERS	HOURS WORKED	RATE/HR	SUBTOTAL	COMMENTS
03/06/26	6	8	$80.00	$3,840.00	
03/07/26	6	5	$80.00	$2,400.00	
				$0.00	
				$0.00	
				$0.00	
				$0.00	
				$0.00	
				$0.00	
			TOTAL	~~$6,240.00~~	

reduce to half

MATERIAL

TYPE	UNIT COST	UNIT	QUANTITY	SUBTOTAL	COMMENTS
CONCRETE	$210.20	CY	126	$26,484.68	UNIT COST INCLUDES TAX & OPENING FEE
SPOILS	$132.25	LOAD	~~22.25~~	$2,942.56	HAUL OFF AND DISPOSAL OF EXCESS SPOILS
				$0.00	
				$0.00	
				$0.00	
			TOTAL	$29,427.25	

see markup below

EQUIPMENT

TYPE	UNIT COST	UNIT	QUANTITY	SUBTOTAL	COMMENTS
PUMP	$2,050.00	DAY	1	$2,050.00	CONCRETE PUMP
EXCAVATOR	$1,195.00	DAY	2	$2,390.00	
				$0.00	
				$0.00	
				$0.00	
			TOTAL	$4,440.00	

see markup below

OTHER

SERVICE	COST	COMMENTS
TOTAL	$0.00	

LABOR/MATERIAL/EQUIPMENT/OTHER TOTAL:	$40,107.25	
OVERHEAD: 10.00%	$4,010.72	
PROFIT: ~~15.00%~~ 5% max	~~$6,617.70~~	
TOTAL OMC COST INCURRED COST DUE TO THIS CHANGE	~~$50,735.67~~	revise per markups
TOTAL AMOUNT REQUESTED FOR THIS CHANGE	~~$50,735.67~~	

BUNNELL LAMMONS ENGINEERING

Field Observation

Report #: 001

Client:	City of Lenoir	**Report Date:**	03/05/2026
Project:	Finley Area Water System Improvements	**Project #:**	25-20234-03
Location:	Lenoir, NC		

FIELD DATA

Weather: Sunny, dry **Temperature (°F):** 75

SUMMARY OF WORK PERFORMED

A BLE representative was onsite to observe and test the subsoils below the bottom of footing for the pedesphere tank. Upon arrival, the southern third of the footing had been excavated to grade. All areas of the exposed subgrade in the southern one-third were probed. 3 hand auger borings with DCP (Dynamic Cone Pennetrometer) were used to quantify the load bearing capacity of the subsoils. A zone of residual soils that did not meet the load bearing capacity were encountered in all three borings. Boring 1 found one foot of unsuitable soil, boring 2 found two feet of unsuitable soil, and boring 3 found three feet of unsuitable residual soil. (Please see attached map showing boring numbers and locations). Below these depths suitable soils meeting 4000 psf requirements were encountered. It is recommended to undercut the full width of the footing area 1 foot, 2 foot, and 3 foot, with the step-downs occurring at the midpoint between the borings. Prior to the replacement of the cut material, please schedule BLE to confirm that all subsoils meet the load bearing capacity requirements. Once verification of the subsoils is completed, replacement of the undercut material can be undertaken with # 57 Stone, also known as washed stone. Any location containing two feet or more of #57 stone should be compacted in minimum two-foot lifts with a vibratory compactor.

Notes: We appreciate the opportunity to provide our services and look forward to continued participation on this project. If you need additional information regarding this communication, please call our office.

Report Copied to: Joel Whitford, Tia Register, Jeff Church, Joseph Taylor, Brandon Moore, Curtis Baker

Pending Review

Representative/Inspector: Ben Erickson

Joseph Taylor
CMT Group Leader
Bunnell Lammons Engineering, Inc.

Notes: As requested, a representative from our company arrived on site as scheduled and performed the above test. These test results apply only to the specific locations noted and do not represent any other location. Reports may not be reproduced except in full, without permission.

BUNNELL LAMMONS ENGINEERING

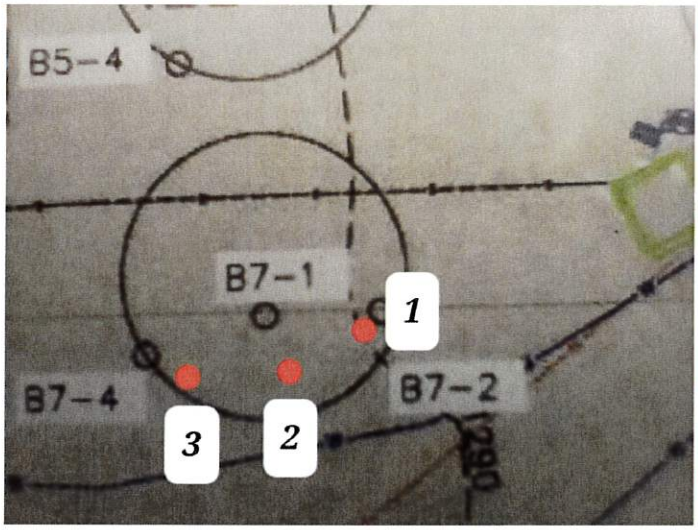
Field Observation

Report #: 001

Client: City of Lenoir
Project: Finley Area Water System Improvements
Location: Lenoir, NC

Report Date: 03/05/2026
Project #: 25-20234-03

Field Report [s]







Pending Revi

BUNNELL LAMMONS ENGINEERING

Field Observation

Report #: 002

Client: City of Lenoir
Project: Finley Area Water System Improvements
Location: Lenoir, NC

Report Date: 03/06/2026
Project #: 25-20234-03

FIELD DATA

Weather: Partly sunny, dry

Temperature (°F): 75

SUMMARY OF WORK PERFORMED

A BLE representative was onsite to reinspect the subgrade after the undercut was performed. Upon arrival, the excavation had been deepened by 3 feet across the entire footprint of the ring foundation, including both the center and approximately 2 feet wider than the ring. The drawings specify a footprint of the foundation of 27'8". The total excavation width was a minimum of 30 feet wide, and in places 35 feet wide or so. All loose and disturbed material from the excavation process was removed by hand with flat nose tools. All areas were probed and found dense to very dense residual soils across the majority of the excavation. Two small areas of softer residual soils were discovered in the southwest corner, approximately where the hand augered boring #3 had been. These areas were delineated and undercut an additional approximate 1 to 2 feet where stiff residual soils were found that meet the 4000 psf load bearing requirement.

BLE was informed by Tyler of OMC contractor that direction to undercut the entire footprint by the 3 feet had been by the direction of Caldwell. All work appeared to meet minimum specifications and this direction by Caldwell.

Notes: We appreciate the opportunity to provide our services and look forward to continued participation on this project. If you need additional information regarding this communication, please call our office.

Report Copied to: Joel Whitford, Tia Register, Jeff Church, Joseph Taylor, Brandon Moore, Curtis Baker

Representative/Inspector: Ben Erickson

Joseph Taylor
CMT Group Leader
Bunnell Lammons Engineering, Inc.

Notes: As requested, a representative from our company arrived on site as scheduled and performed the above test. These test results apply only to the specific locations noted and do not represent any other location. Reports may not be reproduced except in full, without permission.

9120-135-1

Paul's Concrete Pumping LLC

201 Wilson St
Stanley, NC 28164 US
aleisashaw@yahoo.com

Pumping and equipment is in the base bid
No payment shall be made for this

INVOICE

BILL TO
Ozark Mtn. Construction Inc.
1002 Artis Ln.
Conway, AR 72034

INVOICE	4007
DATE	03/10/2026
TERMS	Due on receipt
DUE DATE	03/10/2026

DATE	SERVICE	DESCRIPTION	QTY	RATE	AMOUNT
03/07/2026	Hours	$300 per hour	6.50	300.00	1,950.00
03/07/2026	Mobilization Fee		1	100.00	100.00

345 Finley Ave.
Lenoir, NC
36 Meter

BALANCE DUE **~~$2,050~~.00**

Thank you,
Paul

(po closed)

Ways to pay

View and pay

Seal slab

- [x] Received JCe 3-11-26
- [x] Approved AB 3/17/26
- [x] Cost Tracked JCe 3-11
- [x] Entered JCe 3-11
- [ ] Paid

9180-146-1

Hamby Brothers Concrete, Inc
PO Box 844
Lenoir, NC 28645-0844 US
concrete@hambybrothers.com

☑ Received JCe 3-24-26
☐ Approved Matches PO
☑ Cost Tracked JCe 3-24
☑ Entered JCe 3-24
☐ Paid

INVOICE

BILL TO
Ozark Mtn. Construction Co.
1002 Artis Lane
Conway, AR 72034

SHIP TO
Ozark Mtn. Construction Co.

Refuse to pay opening fee considering the contractor chose to perform without approval

INVOICE #	DATE	TERMS	DUE DATE
66228	03/09/2026	Net 30	04/08/2026

SHIP DATE
03/07/2026

100 CY $18,500.00

DATE	ACTIVITY	DESCRIPTION	QTY	RATE	AMOUNT
	3000	3000 PSI Flowable Fill	~~126~~	185.00	~~23,310.00~~
	Fee	Opening Fee	1	~~1,500.00~~	~~1,500.00~~
		139863,139862,139861,139860, 139859,139858,139857,139856, 139855,139854,139853,139852, 139851,139850			

Thank you for your business. Please send checks to P.O. Box 844 Lenoir N.C. 28645. Thank you!

Ways to pay

View and pay

SUBTOTAL		~~24,810.00~~
TAX	$1,295.00	~~1,674.68~~
TOTAL		~~26,484.68~~
BALANCE DUE	$19,795.00	~~$26,484.68~~

All electronic transactions include an automatic 3% convivence fee. If paying cash or check please call for total. Thank you!

9120-100-2 $462.88
&
9120-121-1 $1,587.00

Invoice

Dickinson Hauling & Grading
5891 Blue Grass Dr
Hickory, NC 28602 USA
+18282940270
office@dickinsonhaul.com
www.dickinsonhaul.com

BILL TO
OZARK MTN. CONSTRUCTION CO.
1002 ARTIS LN
CONWAY, AR 72034

SHIP TO
OZARK MTN. CONSTRUCTION CO.
1002 ARTIS LN
CONWAY, AR 72034

INVOICE #	DATE	TOTAL DUE	DUE DATE	TERMS	ENCLOSED
163817	03/23/2026	$2,049.88	03/23/2026	Due on receipt	

PMT METHOD
American Express

DATE	ACTIVITY	DESCRIPTION	QTY	RATE	AMOUNT
03/23/2026	**HAULING - QUADS**	#9120 121-1 345 FINLEY AVE NW LENOIR, NC HAULING - QUADS 15% FUEL SURCHARGE	12	132.25	1,587.00
03/25/2026	**HAULING - QUADS**	HAULING - QUADS 100-2	3.50	132.25	462.88
		BALANCE DUE			**$2,049.88**

☑ Received JC 4-16-26
☑ Approved AB 4/20/26
☑ Cost Tracked JC 4-17
☑ Entered JC 4-17
☐ Paid

Payment approved

9170-100-2

Invoice

Dickinson Hauling & Grading
5891 Blue Grass Dr
Hickory, NC 28602 USA
+18282940270
office@dickinsonhaul.com
www.dickinsonhaul.com

BILL TO
OZARK MTN. CONSTRUCTION CO.
1002 ARTIS LN
CONWAY, AR 72034

SHIP TO
OZARK MTN. CONSTRUCTION CO.
1002 ARTIS LN
CONWAY, AR 72034

INVOICE #	DATE	TOTAL DUE	DUE DATE	TERMS	ENCLOSED
163800	03/20/2026	$892.69	03/20/2026	Due on receipt	

PMT METHOD
American Express

DATE	ACTIVITY	DESCRIPTION	QTY	RATE	AMOUNT
03/20/2026	**HAULING - QUADS**	#9120 345 FINLEY AVE NW LENOIR, NC HAULING - QUADS 15% FUEL SURCHARGE	~~6.75~~	~~132.25~~	~~892.69~~

BALANCE DUE ~~$892.69~~

☐ Received JCe 4-6-26
☐ Approved 4/20/2026
☐ Cost Tracked JCe 4-17
☐ Entered JCe 4-17
☐ Paid

No payment approved

Carolina CAT RENTALS

RENTAL AGREEMENT
AGREEMENT #: 110059

1202 HIGHWAY 70 EAST, NEWTON, NC 28658
PHONE: (828) 464-7045 FAX: (828) 465-4648

ACCOUNT #: 707582
BILL TO CUSTOMER: OZARK MTN CONSTRUCTION CO
ADDRESS: 1002 ARTIS LN
CITY/STATE/ZIP: CONWAY, AR 72034-9443
ORDERED BY: TYLER BOYCE
PHONE: 501-230-0354
PO #: 9120

CREATED BY: ALEX ZUNIGA
SALES REP: MIKE HENDERSON

SHIP TO/JOBSITE: FINLEY AVE
CONTACT NAME: TYLER BOYCE
CONTACT PHONE: 501-230-0354
SHIP TO ADDRESS: 345 FINLEY AVE NW
CITY/STATE/ZIP: LENOIR, NC, 28645

DELIVERY METHOD: CAROLINA CAT RENTAL DELIVERY
DATE OUT: 03/03/2026 TUE
EST. RETURN DATE: 03/31/2026 TUE
CAT RENTAL STORE: HICKORY

QTY	DESCRIPTION	DAY	WEEK	4WEEK
RENTAL ITEMS				
1	320 EXCAVATOR YR: 2025 MODEL: 320GC S/N: 0LKS50115 ID #: R25-301M HOURS OUT: 379.1 HOURS ALLOWED: 8/40/160	$1,195.00	$3,194.00	$6,892.00
1	320 48" BUCKET YR: MODEL: AT320BKT48 S/N: MFH47548 ID #: B21408	$0.00	$0.00	$0.00
MISCELLANEOUS ITEMS				
1	ENVIRONMENTAL			2.00 %
1	DELIVERY			525.00 EACH
1	PICKUP			525.00 EACH

TAXES
STATE 4.75% COUNTY 2% TOTAL TAX: 6.75%
HVY EQUIP TAX CATAWB 2%

DELIVERY INSTRUCTIONS
DEL- MAR - HAULER

No payment approved

Means and methods

YES	NO		
☐	☐	Do you accept the Fire, Theft and Vandalism Waiver (FTV)?	FIRE, THEFT AND VANDALISM WAIVER (FTV) IS NOT INSURANCE. The fee for FTV is 15% of gross rental charges. The Customer accepts or declines FTV. If the Customer accepts FTV, in consideration of the fee shown above, then CRS agrees to waive certain claims against the Customer for loss of or damage to the Equipment, in accordance with the terms and conditions set forth in Paragraph 10 on the reverse side of this page and in the FIRE, THEFT AND VANDALISM WAIVER GUIDE which the Customer acknowledges receiving.
☐	☐	Is the Equipment to be exposed to any hazardous materials?	
Customer not initialing either the "Yes" or "No" Box will be deemed to have not accepted FTV and have agreed not to expose the Equipment to any hazardous materials.			
Acceptance of FTV shall not affect the responsibilites of Customer under Paragraph 11 of the reverse side of this page, including the obligations to perform periodic maintenance.			Customer shall return the Equipment in a good, clean and uncontaminated condition, free of any and all hazardous substances (other than fuel in the fuel tank(s)).

SEE REVERSE SIDE OF THIS PAGE FOR ADDITIONAL TERMS & CONDITIONS WHICH ARE PART OF THIS AGREEMENT.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement and agreed to all of the terms and conditions set forth in this Agreement, including the terms set forth above and on the reverse side hereof (and Customer represents to CRS that it has read and understands the terms and conditions, including its obligations to perform periodic maintenance on the Equipment), all as of the date first above written.

Equipment will remain on rent until customer calls CAT Rental Store to release. Initial here _____

Approved By: _____
Customer Signature

DO NOT PAY FROM THIS RENTAL AGREEMENT DOCUMENT

Finley Area Water System Improvements

DWI Project No. SRP-D-ARP-0198

Original Contract			Days	Date		Contract Amount
Substantial Completion			360	Oct. 22, 2026		
Final Completion			390	Nov. 21, 2026		$ 2,063,700.00
C.O.	**Line Item Adds**	**Description**	Units	QTY	Unit Price	Total
1		Undercut and Replacement	LS	1	$ 28,224.18	$ 28,224.18
						$ -
						$ -
						$ -
						$ -
						$ -
						$ -
						$ -
						$ -
						$ -
Total Change Order No. 1						**$ 28,224.18**
New Contract Total			**Original**	**Add**	**New**	
Contract Time		Days to Substantial	360	0	360	**$ 2,091,924.18**
		Days to Final	390	0	390	