Budget Revision # _____

Item No. _____

Board Action Date _____

Caldwell County Board of Commissioners

BUDGET REVISION

Board Meeting _____

Subject: 122 Hurricane Helene Essential

Background and explanation for the Budget Revision:

The Caldwell County Health Department received an Agreement Addendum the amount of $798.920 This Agreement Addendum provides funding for the Local Health DepartmentI. Purpose:
This Activity provides financial relief to the North Carolina local health departments and districts in counties under federal major disaster declaration number FEMA DR-4827-NC which are restoring their essential functions and addressing the post-disaster needs of the local public health system during recovery

The Budget Ordinance is hereby amended by making a Supplemental Appropriation with appropriations and revenues being adjusted as

SUPPLEMENTAL APPROPRIATION

Revenues: Increase / Decrease (Circle One)			**Expenditures: Increase / Decrease (Circle One)**		
Line Item #	Title	Amount	Line Item #	Title	Amount
10.5110.330000.10203	Hurricane Helene Esse	798,920.00	10.5110.426000.10203	Supplies	500,000.00
			10.5110.444100.10203	Contractual	298,920.00
Total		**798,920.00**	**Total**		**798,920.00**

Attachments (Yes or No):
Public Hearing (Yes or No):

Distribution:
Original - Finance Office
Duplicate - Clerk to the Board
Triplicate - Department Head

Staff Recommendation:

Board Action:

Department Head	Date	Finance Director	Date	County Manager	Date
Anna Cook	7/31/25				

Division of Public Health
Agreement Addendum
FY 25-26

Caldwell County Health Department
Local Health Department Legal Name

Local Community Support /
Local Technical Assistance and Training
DPH Section / Branch Name

122 Hurricane Helene Essential Functions Support
Activity Number and Description

Susan H. Little, 919-215-4471
susan.little@dhhs.nc.gov
DPH Program Contact
(name, phone number, and email)

06/01/2025 – 05/31/2026
Service Period

DPH Program Signature **Date**
(only required for a negotiable Agreement Addendum)

07/01/2025 – 06/30/2026
Payment Period

☒ Original Agreement Addendum
☐ Agreement Addendum Revision # ___

I. Background:

Hurricane Helene was an extremely strong Category 4 hurricane with maximum sustained winds of 140 miles per hour that made landfall on September 26, 2024, and severely impacted the entire Southeastern United States. Hurricane Helene caused widespread heavy rainfall, record flooding, and significant loss of human life and property in North Carolina. As a result of Hurricane Helene, Western North Carolina endured record-breaking rainfall that created several 1,000-year flood events in several counties, devastating the people, infrastructure, businesses, and schools of entire communities. A total of 39 counties in North Carolina were declared a major disaster by the President of the United States under the Stafford Act (P.L. 93-288), approving individual and public assistance for affected counties.

In Session Law 2024-51, the North Carolina General Assembly (NCGA) established the Hurricane Helene Disaster Recovery Fund (HHDRF) to provide necessary and appropriate relief and assistance from the effects of Hurricane Helene. In Session Law 2024-53, the NCGA appropriated $12,000,000 from the HHDRF to the North Carolina Department of Health and Human Services, Division of Public Health to aid local health departments under federal major disaster declaration number FEMA DR-4827-NC.

In November 2024, the Division of Public Health released a Request for Application (RFA) to all local health departments within the 39-county federally declared disaster area. Those local health departments that responded to the RFA were awarded funds in FY24-25 based on a funding formula which allocated

Health Director Signature (use blue ink or verifiable digital signature) Date

LHD to complete:
[For DPH to contact in case follow-up information is needed.]
LHD program contact name: _____
Phone and email address: _____

Signature on this page signifies you have read and accepted all pages of this document.

40% as a constant base equally divided among all awarded counties and 60% as a percentage per county based on the Business Disruption model[1] multiplied by a factor of population.

II. Purpose:

This Activity provides financial relief to the North Carolina local health departments and districts in counties under federal major disaster declaration number FEMA DR-4827-NC, which are restoring their essential functions and addressing the post-disaster needs of the local public health system during recovery.

A total of $12 million in state appropriations from the Hurricane Helene Disaster Recovery Fund was made available for eligible local health departments and districts in FY24-25.

III. Scope of Work and Deliverables:

As a Local Health Department (LHD) residing in a county that FEMA DR-4827-NC included in its federal declaration as a major disaster area, the LHD may use these funds for its work in assisting with restoring essential functions, including temporary staffing, communicable disease and infection prevention efforts, environmental health efforts, and private well water quality efforts.

IV. Performance Measures / Reporting Requirements:

Per Session Law 2024-53 Section 7.1.(h), the LHD must comply with the following requirements:

1. Prepare and complete an Activity 122 Hurricane Helene Essential Functions Support Budget Plan ("Activity 122 Budget Plan") for restoring essential functions and addressing the post-disaster needs of the local public health system during recovery via Smartsheet[2] by October 1, 2025. A separate budget plan must be submitted for each county providing 1) planned expenses, 2) amounts, and 3) brief descriptions of the expenses. Updated Activity 122 Budget Plans can be submitted as needed via Smartsheet.

2. Submit one Quarterly Report for each county via Smartsheet according to the following schedule:

Reporting Periods	Due Dates
June 1 – August 31, 2025	September 15, 2025
September 1 – November 30, 2025	December 15, 2025
December 31, 2025 – February 28, 2026	March 16, 2026
March 1 – May 31, 2026	June 15, 2026

3. Each Quarterly Report (for each county) shall include the following items:
   a. Total funds spent for the quarter reported.
   b. List of activities funded by this AA to restore essential functions.
   c. Remittance information (in accordance with the Funding Guidelines or Restrictions of this AA):
      1. List of activities originally funded by this AA that have subsequently been paid for by other federal (including FEMA) or local funds.
      2. Total amount of State funds to be remitted by the LHD to the Division of Public Health (DPH).
      3. Total aggregate amount of State funds remitted by the LHD to DPH.
   d. Current year-to-date (YTD) spent
   e. Cumulative amount of funds spent to date (across all fiscal years).

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[1] https://www.osbm.nc.gov/hurricane-helene-dna/open

[2] https://app.smartsheet.com/b/publish?EQBCT=82018408e7b44ef9b44e113b6e536ffb

4. The final Quarterly Report (for each county) for this AA shall also include the following item:
   a. Total funds spent for the State Fiscal Year (funds drawn for work performed in the Service Period June 1, 2025 – May 31, 2026).

5. The LHD shall assist and fully cooperate with DPH to provide any additional reports or information requested by the Fiscal Research Division or the North Carolina Office of State Budget and Management (OSBM).

6. Reporting Required Subcontract Information
   In accordance with revised NCDHHS guidelines effective October 1, 2024, the LHD must provide the information listed below for every subcontract receiving funding from the LHD to carry out any or all of this AA's work.

   This information is not to be returned with the signed AA but is to be provided to DPH when the entities are known by the LHD.

   a. Subcontracts are contracts or agreements issued by the LHD to a vendor ("Subcontractor") or a pass-through entity ("Subrecipient").
      1. Subcontractors are vendors hired by the LHD via a contract to provide a good or service required by the LHD to perform or accomplish specific work outlined in the executed AA. For example, if the LHD needed to build a data system to satisfy an AA's reporting requirements, the vendor hired by the LHD to build the data system would be a Subcontractor. (However, not all Vendors are considered Subcontractors. Entities performing general administrative services for the LHD (e.g., certified professional accountants) are not considered Subcontractors.
      2. Subrecipients of the LHD are those that receive DPH pass-through funding from the LHD via a contract or agreement for them to carry out all or a portion of the programmatic responsibilities outlined in the executed AA. (Subrecipients are also referred to as Subgrantees in NCAC.)

   The following information must be submitted via Smartsheet for review prior to the entity being awarded a contract or agreement from the LHD:
   - Organization or Individual's Name (if an individual, include the person's title)
   - EIN or Tax ID
   - Street Address or PO Box
   - City, State and ZIP Code
   - Contact Name
   - Contact Email
   - Contact Telephone
   - Fiscal Year End Date (of the entity)
   - State whether the entity is functioning as a pass-through entity Subcontractor or subrecipient of the LHD.

V. Performance Monitoring and Quality Assurance:

1. The Local Technical Assistance and Training (LTAT) Branch will assess the LHD's performance through:
   a. Reviewing the LHD's Quarterly Reports and ad hoc reports;
   b. Reviewing funding draws by the LHD in the Aid-to-Counties system (ATC);
   c. Reviewing annual county single audits; and

d. Desk reviews.

2. If the assessment results in compliance concerns, DPH shall conduct conference calls with the LHD to provide technical assistance.

VI. Funding Guidelines or Restrictions:

1. **Federal Funding Requirements**: where federal grant dollars received by the Division of Public Health (DPH) are passed through to the Local Health Department (LHD) for all or any part of this Agreement Addendum (AA).

   a. Requirements for Pass-through Entities: In compliance with 2 CFR §200.331 – *Requirements for pass-through entities*, DPH provides Federal Award Reporting Supplements (FASs) to the LHD receiving federally funded AAs.

      1. Definition: An FAS discloses the required elements of a single federal award. FASs address elements of federal funding sources only; state funding elements will not be included in the FAS. An AA funded by more than one federal award will receive a disclosure FAS for each federal award.
      2. Frequency: An FAS will be generated as DPH receives information for federal grants. FASs will be issued to the LHD throughout the state fiscal year. For a federally funded AA, an FAS will accompany the original AA. If an AA is revised and if the revision affects federal funds, the AA Revision will include an FAS. FASs can also be sent to the LHD even if no change is needed to an AA. In those instances, the FAS will be sent to provide newly received federal grant information for funds already allocated in the existing AA.

   b. Required Reporting Certifications: Per the revised Uniform Guidance, 2 CFR 200, if awarded federal pass-through funds, the LHD as well as all subrecipients of the LHD must certify the following whenever 1) applying for funds, 2) requesting payment, and 3) submitting financial reports:

      "I certify to the best of my knowledge and belief that the information provided herein is true, complete, and accurate. I am aware that the provision of false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil, or administrative consequences including, but not limited to violations of U.S. Code Title 18, Sections 2, 1001, 1343 and Title 31, Sections 3729-3730 and 3801-3812."

2. Funds from the Hurricane Helene Disaster Recovery Fund that are not expended by the LHD by May 31, 2030, and drawn by June 30, 2030, shall be returned to the State.

3. Per Session Law 2024-53 Section 3.1.(d), the LHD must comply with the following requirements:

   a. Receipt of Allocations. A recipient of State funds under this act [the LHD] shall use best efforts and take all reasonable steps to obtain alternative funds that cover the losses or needs for which the State funds are provided, including funds from insurance policies in effect and available federal aid. State funds paid under this act are declared to be excess over funds received by a recipient from the settlement of a claim for loss or damage covered under the recipient's applicable insurance policy in effect or federal aid. Where a recipient is an institution of higher education or a non-State entity, the requirement regarding alternative funds, and the calculation of alternative funds received, under this subsection includes seeking private donations to help cover the losses or needs for which State funds are provided.[3]

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[3] Session Law 2024-53 (S743) Section 3.1.(b)

b. Remittance of Funds. If a recipient [the LHD] obtains alternative funds pursuant to [...] [the Receipt of Allocations section above], the recipient shall remit the funds to the State agency from which the State funds were received. A recipient is not required to remit any amount in excess of the State funds provided to the recipient under this act.[4]

[4] Session Law 2024-53 (S743) Section 3.1.(c)

DPH-Aid-To-Counties **For Fiscal Year: 25/26** **Budgetary Estimate Number : 0**

Activity 122 Service Period Payment Period		AA	230333 2B0HHEL 20H0000012 07/01-05/31 08/01-06/30	Total Allocated	Proposed Total	New Total
01 Alamance			0	$0.00	0	0
D1 Albemarle			0	$0.00	0	0
02 Alexander			0	$0.00	0	0
04 Anson			0	$0.00	0	0
D2 Appalachian	*	0	1,266,033	$0.00	1,266,033	1,266,033
07 Beaufort			0	$0.00	0	0
09 Bladen			0	$0.00	0	0
10 Brunswick			0	$0.00	0	0
11 Buncombe	*	0	1,715,241	$0.00	1,715,241	1,715,241
12 Burke	*	0	849,691	$0.00	849,691	849,691
13 Cabarrus			0	$0.00	0	0
14 Caldwell	*	0	798,920	$0.00	798,920	798,920
16 Carteret			0	$0.00	0	0
17 Caswell			0	$0.00	0	0
18 Catawba			0	$0.00	0	0
19 Chatham			0	$0.00	0	0
20 Cherokee			0	$0.00	0	0
22 Clay	*	0	245,610	$0.00	245,610	245,610
23 Cleveland	*	0	412,295	$0.00	412,295	412,295
24 Columbus			0	$0.00	0	0
25 Craven			0	$0.00	0	0
26 Cumberland			0	$0.00	0	0
28 Dare			0	$0.00	0	0
29 Davidson			0	$0.00	0	0
30 Davie			0	$0.00	0	0
31 Duplin			0	$0.00	0	0
32 Durham			0	$0.00	0	0
33 Edgecombe			0	$0.00	0	0
D7 Foothills	*	0	1,158,800	$0.00	1,158,800	1,158,800
34 Forsyth			0	$0.00	0	0
35 Franklin			0	$0.00	0	0
36 Gaston			0	$0.00	0	0
38 Graham	*	0	130,785	$0.00	130,785	130,785
D3 Gran-Vance			0	$0.00	0	0
40 Greene			0	$0.00	0	0
41 Guilford			0	$0.00	0	0
42 Halifax			0	$0.00	0	0
43 Harnett			0	$0.00	0	0
44 Haywood	*	0	543,863	$0.00	543,863	543,863
45 Henderson	*	0	1,033,072	$0.00	1,033,072	1,033,072
47 Hoke			0	$0.00	0	0
48 Hyde			0	$0.00	0	0
49 Iredell			0	$0.00	0	0
50 Jackson	*	0	316,170	$0.00	316,170	316,170

51 Johnston			0	$0.00	0	0
52 Jones			0	$0.00	0	0
53 Lee			0	$0.00	0	0
54 Lenoir			0	$0.00	0	0
55 Lincoln			0	$0.00	0	0
56 Macon	*	0	301,050	$0.00	301,050	301,050
57 Madison	*	0	369,451	$0.00	369,451	369,451
D4 M-T-W			0	$0.00	0	0
60 Mecklenburg			0	$0.00	0	0
62 Montgomery			0	$0.00	0	0
63 Moore			0	$0.00	0	0
64 Nash			0	$0.00	0	0
65 New Hanover			0	$0.00	0	0
66 Northampton			0	$0.00	0	0
67 Onslow			0	$0.00	0	0
68 Orange			0	$0.00	0	0
69 Pamlico			0	$0.00	0	0
71 Pender			0	$0.00	0	0
73 Person			0	$0.00	0	0
74 Pitt			0	$0.00	0	0
75 Polk	*	0	346,259	$0.00	346,259	346,259
76 Randolph			0	$0.00	0	0
77 Richmond			0	$0.00	0	0
78 Robeson			0	$0.00	0	0
79 Rockingham			0	$0.00	0	0
80 Rowan			0	$0.00	0	0
82 Sampson			0	$0.00	0	0
83 Scotland			0	$0.00	0	0
84 Stanly			0	$0.00	0	0
85 Stokes			0	$0.00	0	0
86 Surry			0	$0.00	0	0
87 Swain	*	0	250,650	$0.00	250,650	250,650
D6 Toe River	*	0	664,382	$0.00	664,382	664,382
88 Transylvania	*	0	392,638	$0.00	392,638	392,638
90 Union			0	$0.00	0	0
92 Wake			0	$0.00	0	0
93 Warren			0	$0.00	0	0
96 Wayne			0	$0.00	0	0
97 Wilkes			0	$0.00	0	0
98 Wilson			0	$0.00	0	0
99 Yadkin			0	$0.00	0	0
00 Yancey	*	0	324,133	$0.00	324,133	324,133
Totals			11,119,043	0	11,119,043	11,119,043

Signed by:
Sign and Date - DPH Program Administrator
Susan Little 07/02/25 | 10:24 AM EDT
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Signed by:
Sign and Date - DPH Section Chief
07/02/25 | 10:35 AM EDT
7EEF0EDC43884E7...

Sign and Date - DPH Budget Office – ATC Coordinator
7/2/2025

Sign and Date - DPH Budget Officer
7/25/25

SH 7/22/2025