www.goldenleaf.org
programs@goldenleaf.org
Phone: 252-442-7474 Fax: 252-442-7404

Submission Certification Form

Project File No.: G-9276
Name of Grantee: Caldwell County
Project Title: Foothills Regional Airport Industrial Park Due Diligence

Certification - Financial worksheets

☐ N/A for this submission

Total project budget	Total project expenditures	GLF budget	GLF Expenditures	Are any GLF expenditures over budget? (Y or N)
$62,000.00	$0.00	$50,000.00	$0.00	

I certify that I have actual knowledge regarding the information contained in the Project Financial Report and of the transactions outlined in the accompanying Golden LEAF Expense tracking sheet, and that

1) The information is true, accurate and complete;
2) All expenditures incurred are for the purposes set forth in the Grantee Acknowledgment and Agreement governing the grant and are consistent with the grant budget;
3) I have the authority to execute this certification on behalf of the Grantee.

Signature (Completer of project financial worksheets)

Ashley Bolick
Name

Economic Development Director
Title

Date signed

Certification - Programmatic sections

☐ N/A for this submission

I certify that in completing this report/request that all the information contained herein and within any supporting or supplemental information provided by me on behalf of the Grantee organization is true, accurate and complete as of the date signed.

Signature (Completer of project programmatic worksheets)

Name

Title

Date signed

Board of Directors Certification [501(c)(3) organizations only]

The board director signing below certifies his or her authority to do so on behalf of the Grantee. By signing this submission, the director certifies on behalf of the Grantee that the information contained herein and other supporting or supplemental information for this report/request, is true, accurate and complete as of the date signed.

Signature

Name of Director/Trustee

Board Title of Director/Trustee

Date signed

Questions about this submission should be directed to:

Name

Title

Telephone E-mail

Total project and Golden LEAF budget and expenditures summary

Budget category	Total project budget	Total Project Expenditures	Golden LEAF Budget	GLF Expenditures	
Due Diligence Support	$50,000.00	$0.00	$50,000.00	$0.00	
Phase II ESA (if required)	$12,000.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
0	$0.00	$0.00	$0.00	$0.00	
$62,000.00	**$0.00**	**$50,000.00**	**$0.00**		

301 North Winstead Avenue, Rocky Mount, NC 27804
Phone: 252-442-7474 Toll Free: 888-684-8404
www.goldenleaf.org

Project Budget

		Project funding source(s) and budget(s)					
Budget category	**Total project budget**	**Golden LEAF**	**Caldwell County**	**Name of funding source 3**	**Name of funding source 4**	**Name of funding source 5**	**Name of funding source 6**
Due Diligence Support	$50,000.00	$50,000.00					
Phase II ESA (if required)	$12,000.00		$12,000.00				
	$62,000.00	**$50,000.00**	**$12,000.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**

Funding proposals pending with:	Amount requested	Disposition date

In-kind contributor	In-kind $ value	In-kind description

Request for Project Modification

****NOTE: Some modifications require approval of the Golden LEAF Board of Directors.****

Date:		**File/Ref. no.:**	G-9276
Grantee:	Caldwell County		
Project title:	Foothills Regional Airport Industrial Park Due Diligence		
GRANTEE CONTACT			
Name:		**Title:**	
Phone:		**E-mail:**	

Mark the request type(s) with an "X" and complete the corresponding section(s) as appropriate.

☐ **EXTENSION REQUEST** – To extend the grant term

Grantee requests to extend the term of grant G-9276 through _____

Write explanation/justification for the extension below (or attach):

☐ **PROJECT MODIFICATION REQUEST** – To deviate from the GLF-approved purpose, conditions or outcomes of the grant

Grantee requests the following change(s) to grant G-9276 :

Write details below (or attach – please be specific):

_____ _____

Signature (Authorized person from Grantee organization) Title

_____ _____

Printed name Date

Payment Request

Date: _____

Project file number: G-9276

Grantee name: Caldwell County

Project title: Foothills Regional Airport Industrial Park Due Diligence

To: President, Golden LEAF

Caldwell County requests a payment in the amount of $ _____.

In making this request, I certify that Caldwell County:

* Needs the sum requested at this time to carry out the project identified above;
* Has performed in accordance with the terms and provisions of the Grantee Acknowledgment and Agreement; and, therefore,
* Is eligible under the terms of the Agreement to receive the amount requested.

I certify that the information contained in financial information submitted in support of this Payment Request, is true, accurate and complete as of the date of its submission.

I further certify that I have the authority to make this request.

_____
Authorized Signature

_____
Printed name

_____
Title

_____
Date

If banking information or payee contact has changed, please complete a new Grantee ACH Deposit Authorization Form and mail or fax as instructed on the Form.

If there has been no change in bank or payee contact information, enter "X" here: ☐

Project File Number: G-9276

Name of Grantee: Caldwell County

				GOLDEN LEAF BUDGET (Record only those EXPENSES within approved line items toward which Golden LEAF grant dollars will be applied.)			Grantee payments to vendors			
				Due Diligence Support	Phase II ESA (if required)	TOTAL				
Invoice date	Invoice No.	Invoice amount	Vendor name	$50,000.00	$0.00	$50,000.00	Check # / Payment method	Payment date	Payment amount	Description of expense/Other comments
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
						$0.00				
			TOTAL EXPENDITURES	$0.00	$0.00	$0.00				
			BALANCE REMAINING	$50,000.00	$0.00	$50,000.00				

Budget Narrative Guidelines

The budget for this project is not complete without an accompanying budget narrative. The budget narrative should:

- ✓ Correspond with the dollar amounts and information on the budget form;
- ✓ Show how the amounts were derived and how the line item relates to project outcomes;
- ✓ Provide a brief description for each item that acurately characterizes how funds are to be used--whether to be funded by Golden LEAF or not -- please contact Golden LEAF staff for guidance on Golden LEAF-allowed expenses;
- ✓ Organize the information so that it is easy to follow and understand; and,
- ✓ Be mathematically correct.

Double-click in the box below to enter the description for each budget category.

Caldwell County Economic Development is proposing to use $50,000 in Golden Leaf funding to complete due diligence activities on approximately 1,000 acres at Foothills Regional Airport Industrial Park.

Priority activities will include the completion of a Geotechnical Report on the West Campus section of the park. This area, shown on the conceptual plan, is located in Burke County and is nearest to existing road access and water/sewer infrastructure. The West Campus has been prioritized by both counties for initial industrial development. The Geotechnical Report for this section of the property has been estimated at $20,000 and is essential when marketing the site to potential clients.

Additionally, CCED plans to complete a Phase I Environmental Assessment Report for the entire property outside of the airport boundary and, contingent on the results, a Phase II Environmental Assessment. The Phase I ESA is estimated to cost $15,000 and the Phase II ESA is estimated to cost $27,000. Should a Phase II ESA be necessary, Caldwell County would cover the additional $12,000 not covered by Golden Leaf funding.

If a Phase II ESA is not required and additional funding remains available, CCED intends to complete the following due diligence activities: Preliminary Wetland and Stream Determination ($4,000), Threatened and Endangered Species Report ($4,000), Initial Historical, Archaeological, and Cultural Resource Review ($5,000), and Title Opinion ($2,000).

If awarded, CCED will advertise for firms to complete the Geotechnical Report and Phase I ESA beginning in Summer 2024 with firm selection by October 2024. The Phase I Assessment would anticipate completion by December 2024. Additional due diligence activities would then be prioritized. All project work would anticipate completion no later than March 2025.

Golden LEAF FOUNDATION

Project File Number: G-9276

Name of Grantee: Caldwell County

NOTE: If you anticipate "Golden LEAF Expenditures" for any line item will exceed the approved "Golden LEAF Budget," submit a budget revision request for approval PRIOR to spending Golden LEAF funds.

Budget category	Total Project Budget	Total Expenditures	Golden LEAF			Caldwell County		Name of funding source 3		Name of funding source 4		Name of funding source 5		Name of funding source 6	
			Budget	Expenditures	Balance Remaining	Budget	Expenditures	Budget	Expenditures	Budget	Expenditures	Budget	Expenditures	Budget	Expenditures
Due Diligence Support	$50,000.00	$0.00	$50,000.00	$0.00	$50,000.00	$0.00		$0.00		$0.00		$0.00		$0.00	
Phase II ESA (if required)	$12,000.00	$0.00	$0.00	$0.00	$0.00	$12,000.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
0	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00		$0.00		$0.00		$0.00		$0.00	
TOTAL	$62,000.00	$0.00	$50,000.00	$0.00	$50,000.00	$12,000.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00

Budget Modification Request

		Revision Request									
Budget category	Current total project budget	Current Golden LEAF (GLF) budget	GLF budget category increase	GLF budget category decrease	Revised GLF budget*	Caldwell County	Name of funding source 3	Name of funding source 4	Name of funding source 5	Name of funding source 6	Total revised project budget
Due Diligence Support	$50,000.00	$50,000.00			$50,000.00	$0.00	$0.00	$0.00	$0.00	$0.00	$50,000.00
Phase II ESA (if required)	$12,000.00	$0.00			$0.00	$12,000.00	$0.00	$0.00	$0.00	$0.00	$12,000.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
0	$0.00	$0.00			$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
					$0.00						$0.00
					$0.00						$0.00
					$0.00						$0.00
*If GLF budget request approved by GLF	$62,000.00	$50,000.00	$0.00	$0.00	$50,000.00	$12,000.00	$0.00	$0.00	$0.00	$0.00	$62,000.00

EXPLANATION/JUSTIFICATION OF REQUEST:

GOLDEN LEAF APPROVAL:

Project File Number: G-9276
Name of Grantee: Caldwell County
Project Title: Foothills Regional Airport Industrial Park Due Diligenc
Form completed by:

Signature:
Title:
Phone:
E-mail:
Date:

% of GLF budget	% of total budget
0.0%	0.0%